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UTILITY PATENT APPLICATION **TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No.: 2001-0122A

First Named Inventor: Norio KIMURA et al.

Title: POLISHING APPARATUS

Express Mail Label No.:

Assistant Commissioner for Patents ADDRESS TO: **Box Patent Application**

Washington, D.C. 20231

7. [] CD-ROM or CD-R in duplicate, large table or computer program (Appendix)

8. [] Nucleotide and/or Amino Acid Sequence Submission lif applicable, all necessary)

a. [] Computer Readable Form

b. Specification Sequence Listing on:

i, [] CD-ROM or CD-R (2 copies); or

ii. [] Paper

c. [] Statement verifying

identity of above copies

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

Fee Transmittal Form 1. [X] (Submit an original, and a duplicate for fee processing)

2. [] Small Entity Status is hereby asserted.

3. [X] Specification [Total Pages: 15]

(preferred arrangement set forth below)

- Descriptive title of the Invention

- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Sequence Listing, a table, or a computer program listing appendix.
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- **Detailed Description**

4. [X] Drawing(s) (35 USC 113)

- Claim(s)
- Abstract of the Disclosure

[Total Sheets: 4]

5. [X] Oath or Declaration

[Total Pages: 3]

- a.1. [] Newly executed (original or copy)
 - a.2. [X] Unexecuted
 - [] Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)

i. [] DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. [] Application Data Sheet (see 37 CFR 1.76)

ACCOMPANYING APPLICATION PARTS

- Assignment Papers (cover sheet & document(s)) 9. []
- 37 CFR 3.73(b) Statement (when there is an assignee)
 - [] Power of Attorney
- 11. [] English Translation Document (if applicable)
- Information Disclosure Statement (IDS)/PTO-1449 12. []
 - [] Copies of IDS Citations Preliminary Amendment
- 13. [] 14. [X] Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- Certified Copy of Priority Document(s) 15. [] (if foreign priority is claimed)
- 16. [] Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- 17. [] Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet:

[] Continuation

[] Divisional

[] Continuation-in-part (CIP)

of prior application No.

Prior Application Information: Examiner

Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby-incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS



000513

PATENT TRADEMARK OFFICE

Nils E. Pedersen Registration No. 33.145

WENDEROTH, LIND & PONACK, L.L.P. 2033 "K" Street, N.W., Suite 800 Washington, D.C. 20006 Phone: (202) 721-8200 Fax: (202) 721-8250

February 7, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

:

Norio KIMURA et al.

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Serial No. NEW

Attn: APPLICATION BRANCH

Filed February 7, 2001

Attorney Docket No. 2001-0122A

POLISHING APPARATUS

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$710.00 to cover Patent Office fees relating to filing the following attached papers:

New application
Assignment for Recordal
Additional Claims Fee: Excess of Twenty \$ Independent \$ Multiple Dependent Fee \$

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Norio KIMURA et al.

Nils E. Pedersen

Registration No. 33,145 Attorney for Applicants

NEP/krl WENDEROTH, LIND & PONACK, L.L.P. 2033 K St., N.W., Suite 800 Washington, D.C. 20006 Telephone (202) 721-8200 February 7, 2001

[Check No. 4(50)]

2001_0122A